



Form 1449 (Modified) Information Disclosure Statement By Applicant <i>(Use Several Sheets if Necessary)</i>		Atty-Docket No. SRI1P029 Applicant: Pelrine, et al. Filing Date 02/07/01	Application No.: 09/779,373 Group 2834
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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
<i>MS</i>	X	Aramaki, S., S. Kaneko, K. Arai, Y. Takahashi, H. Adachi, and K. Yanagisawa. 1995. "Tube Type Micro Manipulator Using Shape Memory Alloy (SMA)," <i>Proceedings of the IEEE Sixth International Symposium on Micro Machine and Human Science</i> , Nagoya, Japan, pp. 115-120.
	Y	Ashley, S., "Smart Skis and Other Adaptive Structures", <i>Mechanical Engineering</i> , November 1995, pp. 77-81
	Z	Bar-Cohen, Yoseph, JPL, <i>WorldWide ElectroActive Polymers, EAP (Artificial Muscles) Newsletter</i> , Vol. 1, No. 1, June 1999.
	A1	Bar-Cohen, Yoseph, JPL, <i>WorldWide ElectroActive Polymers, EAP (Artificial Muscles) Newsletter</i> , Vol. 1, No. 2, December 1999.
	A2	Bar-Cohen, Yoseph, JPL, <i>WorldWide ElectroActive Polymers, EAP (Artificial Muscles) Newsletter</i> , Vol. 2, No. 1, July 2000.
	A3	Bar-Cohen, Yoseph, JPL, <i>WorldWide ElectroActive Polymers, EAP (Artificial Muscles) Newsletter</i> , Vol. 2, No. 2, December 2000.
	A4	Bar-Cohen, Yoseph, JPL, <i>WorldWide ElectroActive Polymers, EAP (Artificial Muscles) Newsletter</i> , Vol. 3, No. 1, June 2001.
	A5	Bar-Cohen, Yoseph, JPL, <i>WorldWide Electroactive Polymer Actuators Webhub</i> webpages 1-7, http://ndeaa.jpl.nasa.gov/nasa-nde/lommars/eap/EAP-web.htm , downloaded July 23, 2001.
	A6	Baughman, R., L. Shacklette, R. Elsenbaumer, E. Plichta, and C. Becht "Conducting Polymer Electromechanical Actuators," <i>Conjugated Polymeric Materials: Opportunities in Electronics, Optoelectronics and Molecular Electronics</i> , eds. J.L. Bredas and R.R. Chance, Kluwer Academic Publishers, The Netherlands, pp. 559-582, 1990
	A7	Baughman, R.H., L.W. Shacklette, and R.L. Elsenbaumer, E.J. Plichta, and C. Becht, "Micro electromechanical actuators based on conducting polymers", in <i>Molecular Electronics, Materials and Methods</i> , P.I. Lazarev (ed.), Kluwer Academic Publishers, pp. 267-289 (1991)
	A8	Bharti, V., Y. Ye, T.-B. Xu and Q. M. Zhang, "Correlation Between Large Electrostrictive Strain and Relaxor Behavior with Structural Changes Induced in P(VDF-TrFE) Copolymer by electron Irradiation," <i>Mat. Res. Soc. Symp. Proc.</i> Vol 541, pp. 653-659 (1999).
<i>✓</i>	A9	Bharti, V., Z.-Y. Cheng, S. Gross, T.-B. Xu, and Q. M. Zhang, "High electrostrictive strain under high mechanical stress in electron-irradiated poly(vinylidene fluoride-trifluoroethylene) copolymer," <i>Appl. Phys. Lett.</i> Vol. 75, 2653-2655 (October 25, 1999).
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<i>TB</i>	B1	Bharti, V., H. S. Xu, G. Shanthi, and Q. M. Zhang, "Polarization and Structural Properties of High Energy Electron Irradiated Poly(vinylidene fluoride-trifluoroethylene) Copolymer Films," to be published in <i>J. Appl. Phys.</i> (2000).
	B2	Bharti, V., X.-Z. Zhao, Q. M. Zhang, T. Romotowski, F. Tito, and R. Ting, "Ultrahigh Field Induced Strain And Polarization Response In Electron Irradiated Poly(Vinylidene Fluoride-Trifluoroethylene) Copolymer," <i>Mat. Res. Innovat.</i> Vol. 2, 57-63 (1998).
	B3	Bobbio, S., M Kellam, B. Dudley, S. Goodwin Johansson, S. Jones, J. Jacobson, F. Tranjan, and T. DuBois, "Integrated Force Arrays," in Proc. IEEE Micro ElectroMechanical Systems Workshop, Fort Lauderdale, Florida February 1993.
	B4	Bohon, K., and S. Krause, "An Electrorheological Fluid and Siloxane Gel Based Electromechanical Actuator: Working Toward an Artificial Muscle," to be published in <i>J. Polymer Sci., Part B. Polymer Phys.</i> (2000)
	B5	Brock, D. L., "Review of Artificial Muscle based on Contractile Polymers," MIT Artificial Intelligence Laboratory, A.I. Memo No. 1330, Nov. 1991.
	B6	Caldwell, D., G. Medrano-Cerda, and M. Goodwin, "Characteristics and Adaptive Control of Pneumatic Muscle Actuators for a Robotic Elbow," Proc. IEEE Int. Conference on Robotics and Automation, San Diego, California (8-13 May 1994).
	B7	Calvert, P. and Z. Liu, "Electrically stimulated bilayer hydrogels as muscles," Proceedings of the SPIE International Symposium on Smart Structures and Materials: Electro-Active Polymer Actuators and Devices, March 1-2, 1999, Newport Beach, California, USA, pp. 236-241.
	B8	Cheng, Z.-Y., H. S. Xu, J. Su, Q. M. Zhjang, P.-C. Wang, and A. G. MacDiarmid, "High performance of all-polymer electrostrictive systems," Proceedings of the SPIE International Symposium on Smart Structures and Materials: Electro-Active Polymer Actuators and Devices, March 1-2, 1999, Newport Beach, California, USA, pp. 140-148.
	B9	Cheng, Z.-Y., T.-B. Xu, V. Bharti, S. Wang, and Q. M. Zhang, "Transverse Strain Responses In The Electrostrictive Poly(Vinylidene Fluoride-Trifluoroethylene) Copolymer," <i>Appl. Phys. Lett.</i> Vol 74, No. 13, pp. 1901-1903, March 29, 1999.
<i>✓</i>	B10	Chiarelli, P., A. Della Santa, D. DeRossi, and A. Mazzoldi. 1995. "Actuation Properties of Electrochemically Driven Polypyrrole Free-standing Films," <i>Journal of Intelligent Material Systems and Structures</i> , Vol. 6, pp. 32-37, January 1995
MARK	PRIMARY EXAMINER ART UNIT 29	Date Considered 10/27/04

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B	C1	De Rossi, D., and P. Chiarelli. 1994. "Biomimetic Macromolecular Actuators," <i>Macro-Ion Characterization, American Chemical Society Symposium Series</i> , Vol. 548, Ch. 40, pp. 517-530.
	C2	Dowling, K., <i>Beyond Faraday-Non Traditional Actuation</i> , available on the World Wide Web at http://www.frc.ricmu.edu/~nivek/OTH/beyond-faraday/beyondfaraday.html , 9 pages, 1994
	C3	Egawa, S. and T. Higuchi, "Multi-Layered Electrostatic Film Actuator," Proc. IEEE Micro Electra Mechanical Systems, Napa Valley, California, pp. 166-171 (February 11-14, 1990).
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	C5	Flynn, Anita M., L.S. Tavrow, S.F. Bart, R.A. Brooks, D.J. Ehrlich, K.R. Udayakumar, and L.E. Cross. 1992. "Piezoelectric Micromotors for Microrobots," <i>IEEE Journal of Microelectromechanical Systems</i> , Vol.1, No.1, pp. 44-51 (March 1992); also published as <i>MIT AI Laboratory Memo 1269</i> , Massachusetts Institute of Technology (February 1991).
	C6	Full, R. J. and K. Meijer, "Artificial Muscles Versus Natural Actuators From Frogs To Flies," Proceedings of the 7th SPIE Symposium on Smart Structures and Materials-Electroactive Polymers and Devices (EAPAD) Conference, March 6-8, 2000, Newport Beach, California, USA, pp. 2-9.
	C7	Furuhata, T., T. Hirano, and H. Fujita, "Array-Driven Ultrasonic Microactuators," Solid State Sensors and Actuators, 1991, Digest of Tech. Papers, Transducers, pp. 1056-1059
	C8	Furukawa, T., and N. Seo., "Electrostriction as the Origin of Piezoelectricity in Ferroelectric Polymers," <i>Japanese J. Applied Physics</i> , Vol. 29, No. 4, pp. 675-680 (April 1990).
	C9	Gilbertson, R.G., and J.D. Busch. 1994. "Survey of Micro-Actuator Technologies for Future Spacecraft Missions," presented at the conference entitled "Practical Robotic Interstellar Flight: Are We Ready?" New York University and The United Nations, New York. (August 29 and September 1, 1994); also published on the World Wide Web at http://nonothinc.com/nanosci/microtech/mems/ten-actuators/gilbertson.html .
✓	C10	Goldberg, Lee, "Adaptive-Filtering Developments Extend Noise-Cancellation Applications, <i>Electronic Design</i> , February 6, 1995, pages 34 and 36

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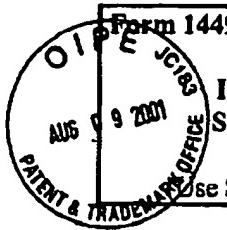
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VB	D1	M. Greene and J. A. Willett, and Kornbluh, R., "Robotic systems," in ONR Report 32198-2, Ocean Engineering and Marine Systems 1997 Program (Dec. 1997)
	D2	Heydt, R., R. Pelrine, J. Joseph, J. Eckerle, and R. Kornbluh. "Acoustical Performance of an Electrostrictive Polymer Film Loudspeaker", <i>Journal of the Acoustical Society of America</i> Vol. 107, pp. 833-839 (Feb. 2000).
	D3	Heydt, R., R. Kornbluh, R. Pelrine, and B. Mason, "Design and Performance of an Electrostrictive Polymer Film Acoustic Actuator", <i>Journal of Sound and Vibration</i> (1998)215(2), 297-311.
	D4	Hirano, M., K. Yanagisawa, H. Kuwano, and S. Nakano, "Microvalve with Ultra-low Leakage," Tenth Annual International Workshop on Micro Electromechanical Systems, Nagoya, Japan, <i>IEEE Proceedings</i> (January 26-30, 1997), pp. 323-326.
	D5	Hirose, S., Biologically Inspired Robots: Snake-like Locomotors and Manipulators, "Development of the ACM as a Manipulator", Oxford University Press, New York, 1993, pp.170-172.
	D6	Hunter, I., S. Lafontaine, J. Hollerbach, and P. Hunter, "Fast Reversible NiTi Fibers for Use in MicroRobotics," <i>Proc. 1991 IEEE Micro Electro Mechanical Systems-MEMS '91</i> , Nara, Japan, pp.166-170.
	D7	Hunter, I.W., and S. Lafontaine, "A Comparison of Muscle with Artificial Actuators", <i>Technical Digest of the IEEE Solid-state Sensor and Actuator Workshop</i> , Hilton Head, South Carolina, June 22-25, 1992, pp.178-185.
	D8	Jacobsen, S., Price, R., Wood, J, Rytting, T., and Rafaelof, M., "A Design Overview of an Eccentric-Motion Electrostatic Microactuator (the Wobble Motor)", <i>Sensors and Actuators</i> , 20 (1989) pages 1-16
	D9	Kaneto, K., M. Kaneko, Y. Min, and A.G. MacDiarmid. 1995. "Artificial Muscle": Electromechanical Actuators Using Polyaniline Films, <i>Synthetic Metals</i> 71, pp. 2211-2212, 1995
	D10	Kawamura, S., K. Minami, and M. Esashi, "Fundamental Research of Distributed Electrostatic Micro Actuator," Technical Digest of the 11th Sensor Symposium, pp. 27-30(1992).
	D11	Kondoh Y., and T. Ono. 1991. "Bimorph Type Actuators using Lead Zinc Niobate-based Ceramics," <i>Japanese Journal of Applied Physics</i> , Vol. 30, No. 9B, pp. 2260-2263, September 1991.
✓	D12	Kornbluh, R., R. Pelrine, R. Heydt, and Q. Pei, "Acoustic Actuators Based on the Field-Activated Deformation of Dielectric Elastomers," (2000)
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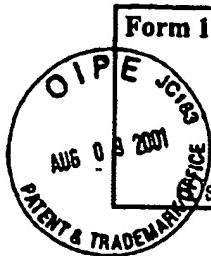
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<i>W</i>	E1	Kornbluh, R., G. Andeen, and J. Eckerle, "Artificial Muscle: The Next Generation of Robotic Actuators," presented at the Fourth World Conference on Robotics Research, SME Paper M591-331, Pittsburgh, PA, September 17-19, 1991.
	E2	Kornbluh, R., R. Pelrine, J. Joseph, "Elastomeric Dielectric Artificial Muscle Actuators for Small Robots," <i>Proceedings of the Third IASTED International Conference on Robotics and Manufacturing</i> , June 14-16, 1995, Cancun, Mexico.
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	E5	Kornbluh, R. D and R. E. Pelrine, "Dexterous Multiarticulated Manipulator with Electrostrictive Polymer Artificial Muscle," ITAD-7247-QR-96-175, SRI Project Number 7247, Prepared for: Office of Naval Research, November 1996
	E6	Kornbluh, R., R. Pelrine, Q. Pei, S. Oh, and J. Joseph, 2000. "Ultrahigh Strain Response of Field-Actuated Elastomeric Polymers," <i>Proceedings of the 7th SPIE Symposium on Smart Structures and Materials-Electroactive Polymers and Devices (EAPAD) Conference</i> , March 6-8, 2000, Newport Beach, California, USA, pp. 51-64.
	E7	Kornbluh, R., Pelrine, R. Joseph, J., Pei, Q. and Chiba, S., "Ultra-High Strain Response of Elastomeric Polymer Dielectrics", Proc. Materials Res. Soc., Fall meeting, Boston, MA, pages 1-12, December 1999
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	E9	Lang, J., M. Schlect, and R. Howe, "Electric Micromotors: Electromechanical Characteristics," Proc. IEEE Micro Robots and Teleoperators Workshop, Hyannis, Massachusetts (November 9-11, 1987).
<i>✓</i>	E10	Liu, Y., T. Zeng, Y.X. Wang, H. Yu, and R. Claus, "Self-Assembled Flexible Electrodes on Electroactive Polymer Actuators," <i>Proceedings of the SPIE International Symposium on Smart Structures and Materials: Electro-Active Polymer Actuators and Devices</i> , March 1-2, 1999, Newport Beach, California, USA., pp. 284-288.
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	F2	Lawless, W. and R. Arenz, "Miniature Solid-state Gas Compressor," <i>Rev. Sci Instrum.</i> , 58(8), pp.1487-1493, August 1987
	F3	Martin, J. and R. Anderson, 1999. "Electrostriction In Field-Structured Composites: Basis For A Fast Artificial Muscle?", <i>Journal of Chemical Physics</i> , Vol. 111, no. 9, pp.4273-4280, September 1, 1999
	F4	Measurements Specialties, Inc. – Piezo Home, http://www.msiusa.com/piezo/index.htm , 06/06/2001.
	F5	T. B. Nguyen, C. K. DeBolt, Shastri, S. V., and A. Mann, "Advanced Robotic Search," in ONR Ocean, Atmosphere, and Space Fiscal Year 1999 Annual Reports (Dec. 1999)
	F6	Nguyen, T., J. A. Willett and Kornbluh, R., "Robotic systems," in ONR Ocean, Atmosphere, and Space Fiscal Year 1998 Annual Reports (Dec. 1998)
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	F9	Olsson, A., O. Larsson, J. Holm, L. Lundbladh, O. Ohinan, and G. Stemme. 1997. "Valve-less Diffuser Micropumps Fabricated using Thermoplastic Replication," <i>Proc. IEEE Micro Electro Mechanical Systems</i> , Nagoya, Japan, pp. 305-310 (January 26-30, 1997).
	F10	Olsson, A., G. Stemme, and E. Stemme, "The First Valve-less Diffuser Gas Pump," Tenth Annual International Workshop on Micro Electromechanical Systems, Nagoya, Japan, <i>IEEE Proceedings</i> (January 26-30, 1997), pp.108-113.
	F11	Otero, T.F., J. Rodriguez, E. Angulo and C. Santamaria, "Artificial Muscles from Bilayer Structures," <i>Synthetic Metals</i> , Vol. 55-57, pp. 3713-3717 (1993).
	F12	Otero, T.F., J. Rodriguez, and C. Santamaria, "Smart Muscle Under Electrochemical Control of Molecular Movement in Polypyrrole Films," <i>Materials Research Society Symposium Proceedings</i> , Vol. 330, pp. 333-338, 1994
	F13	Park, S.E., and T. Shrout., "Ultrahigh Strain and Piezoelectric Behavior in Relaxor Based Ferroelectric Single Crystals," <i>J Applied Physics</i> , Vol. 82, pp. 1804-1811, August 15, 1997 U. BUDDI
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	G2	Pei <i>et al.</i> , "Improved Electroactive Polymers", U.S. Patent Application No. 09/619,847, filed July 20, 2000, 70 pages
	G3	R. Pelrine and Kornbluh, R., and. 1995. "Dexterous Multiarticulated Manipulator with Electrostrictive Polymer Artificial Muscle Actuator," EMU 95-023, SRI International, Menlo Park, California, April 28, 1995.
	G4	Pelrine, R., R. Kornbluh, and Q. Pei. "Electroactive Polymer Transducers And Actuators", U.S. Patent Application No. 09/620,025, filed July 20, 2001, 58 pages.
	G5	Pelrine, R. and Kornbluh, "Electroactive Polymer Devices", U.S. Patent Application No. 09/619,846, filed July 20, 2000, 67 pages
	G6	Pelrine <i>et al.</i> , "Electroactive Polymer Electrodes", U.S. Patent Application No. 09/619,843, filed July 20, 2000, 54 pages
	G7	Pelrine <i>et al.</i> , "Electroactive Polymer Fabrication", U.S. Patent Application No. 09/619,845, filed July 20, 2000, 55 pages
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	G9	Pelrine, R., R. Kornbluh, and J. Joseph, "Electrostriction of Polymer Dielectrics with Compliant Electrodes as a Means of Actuation," <i>Sensors and Actuators A: Physical</i> , Vol. 64, 1998, pp.77-85.
	G10	Pelrine, R., R. Kornbluh, J. Joseph, and S. Chiba, "Electrostriction of Polymer Films for Microactuators," <i>Proc. IEEE Tenth Annual International Workshop on Micro Electro Mechanical Systems</i> , Nagoya, Japan, January 26-30, 1997, pp. 238-243.
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	G12	Pelrine, R., and J. Joseph, <i>FY 1992 Final Report on Artificial Muscle for Small Robots</i> , ITAD-3393-FR-93-063, SRI International, Menlo Park, California, March 1993

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WS	H1	Pelrine, R., and J. Joseph. 1994. <i>FY 1993 Final Report on Artificial Muscle for Small Robots</i> , ITAD-4570-FR-94-076, SRI International, Menlo Park, California.
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	H4	Pelrine, R., R. Kornbluh, and J. Joseph, <i>FY 1996 Final Report on Artificial Muscle for Small Robots</i> , ITAD-7228-FR-97-058, SRI International, Menlo Park, California, 1997
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	H6	Pelrine, R., R. Kornbluh, and J. Joseph, <i>FY 1998 Final Report on Artificial Muscle for Small Robots</i> , ITAD-3482-FR-99-36, SRI International, Menlo Park, California, 1999
	H7	Pelrine, R., R. Kornbluh, and J. Joseph, <i>FY 1999 Final Report on Artificial Muscle for Small Robots</i> , ITAD-10162-FR-00-27, SRI International, Menlo Park, California, 2000
	H8	Pelrine, R., R. Kornbluh, Q. Pei, and J. Joseph. "High-Speed Electrically Actuated Elastomers with Strain Greater Than 100%", <i>Science</i> , Reprint Series, Feb. 4 2000, Vol. 287, pp. 836-839.
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	H10	Pelrine, R., R. Kornbluh, and G. Kofod, "High Strain Actuator Materials Based on Dielectric Elastomers," submitted to <i>Advanced Materials</i> (May 2000).

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	I1	Pelrine, R., Roy Kornbluh, Jose Joseph, Qibing Pei, Seiki Chiba "Recent Progress in Artificial Muscle Micro Actuators," , SRI International, Tokyo, 1999 MITI/NEEDOIMNIC, 1999
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Examiner MARK U. BUJU PRIMARY EXAMINER		Date Considered 10/27/04

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Form 1449 (Modified)

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SRI1P029

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09/779,373

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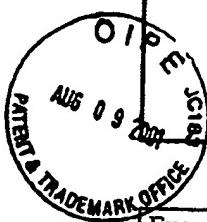
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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
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